



COMMON APPLICATION FORM FOR INCOME SCHEMES

PLEASE USE SEPARATE FORM FOR EACH SCHEME

Sr.No. 2012/

PLEASE FILL IN ALL COLUMNS IN CAPITAL LETTERS ONLY

(PLEASE READ INSTRUCTIONS CAREFULLY TO HELP US SERVE YOU BETTER)

Registrar Sr. No.

DISTRIBUTOR INFORMATION (only empanelled Distributors/Brokers will be permitted to distribute Units) (refer instruction 'n')					
ARN	Name of Financial Advisor	Sub Code/ Bank Branch Code	M O Code	EUI No.	UTIRM No.
7369	ELBEE SECURITIES PVT. LTD.			E029664	

BDA / CA Code

Upfront commission shall be paid directly by the investor to the AMFI / NISM certified UTI MF registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that where the EUI No. space has been left blank by me/us, the transaction is an "execution-only" transaction.

TRANSACTION CHARGES TO BE PAID TO THE DISTRIBUTOR (Please tick any one of the below) (Refer Instruction 'o')

I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS
₹ 150 will be deducted as transaction charges per Subscription of ₹ 10,000 and above

OR

I AM AN EXISTING INVESTOR IN MUTUAL FUNDS
₹ 100 will be deducted as transaction charges per Subscription of ₹ 10,000 and above

Existing Unit Holder information Scheme Name: _____ Folio Number: _____

APPLICANT'S PERSONAL DETAILS Mr. Ms. Mrs. M/s. * Denotes Mandatory Fields

Name of First Applicant / Other Mentally Handicapped Persons (for UBF / MIS) and Adult Female Persons (For MUS) (as appearing in ID proof given for KYC)

Date of Birth _____ Mandatory for minors

First Applicant's Address (Do not repeat the name) Name & Address of resident relative in India (for NRIs) (P.O. Box No. is not sufficient)

Village/Flat/Bldg./Plot* _____

Street/Road/Area/Post _____

City/Town* _____ State _____ Pin* _____

*PAN OF 1st APPLICANT (whose particulars are furnished in the form) AADHAR CARD NO. _____

Enclosed PAN Card Copy Know Your Customer (KYC)* Acknowledgement Copy Please (✓)

OVERSEAS ADDRESS (Overseas address is mandatory for NRI / FII applicants in addition to mailing address in India)

City* _____

State _____ Country* _____ Zip/Pin* _____

NAME IN FULL OF THE FATHER (OR) MOTHER/ GUARDIAN (If Minor) / Contact Person And Designation - For Institutional Applicants / Alternate Applicant (in case of UBF / MIS / MUS) MR. MS. MRS.

\$ Proof of date of birth and proof of relationship with minor to be attached or else sign the declaration on the reverse (Refer instruction s).

OPTION FOR DESPATCH OF STATEMENT OF ACCOUNT

Applicant's address (for NRIs) At my Overseas address as mentioned above / To be despatched to my resident relative's address in India as given above

DETAILS OF OTHER APPLICANTS

Name of 2nd Applicant Mr. Ms. Mrs. M/s. Date of Birth of 2nd Applicant _____

*PAN of 2nd Applicant AADHAR CARD NO. _____

Enclosed PAN Card Copy Know Your Customer (KYC)* Acknowledgement Copy Please (✓)

Name of 3rd Applicant Mr. Ms. Mrs. M/s. Date of Birth of 3rd Applicant _____

*PAN of 3rd Applicant AADHAR CARD NO. _____

Enclosed PAN Card Copy Know Your Customer (KYC)* Acknowledgement Copy Please (✓)

PAYMENT DETAILS

#Cheque/DD/*NEFT/*RTGS Ref. No. _____ / Unique Serial No. (For Cash) Cash Account type Savings Current NRE

Account No. _____ (please ✓) NRO DD issued from abroad

Date _____ Amt. of investment (i) _____ # Please mention the application No. on the reverse of the cheque / DD, NEFT / RTGS advice. Cheque / DD must be drawn in favour of "The Name of the Scheme" & crossed "A/c Payee Only"

Bank _____ DD Charges if any (ii) _____

Branch _____ Net amount paid (i-ii) _____

Amt. in words _____ ♦ Investment amount shall be Rs. 2 lacs and above in case of payments through NEFT / RTGS.

BANK PARTICULARS OF 1ST APPLICANT (Mandatory as per SEBI Guidelines)

Bank Name _____ Branch _____

Address _____ MICR Code _____ (this is a 9-digit number next to your cheque number)

City _____ Pin* _____ IFS Code _____ (this is a 11-digit number)

Account type (please ✓) Savings Current NRO NRE

Account No. _____



ACKNOWLEDGEMENT (To be filled in by the Applicant)

Sr. No. 2012/

Received from Mr / Ms / M/s _____ (scheme name)

An application under _____ dated _____

along with Cheque / DD No. / Cash _____

Drawn on (Bank) _____

for ₹ (in figures) _____

Stamp of UTI AMC Office/
Authorised Collection Centre

* Cheques and drafts are subject to realisation.

INVESTMENT DETAILS (For "DIRECT PLAN" Please tick here & tick Scheme, Plan / Option given below) (Refer instruction 't')

UTI-BOND FUND UTI-CREDIT OPPORTUNITIES FUND UTI-CRTS UTI-DYNAMIC BOND FUND UTI-G-SEC FUND-(STP)
 UTI-MAHILA UNIT SCHEME UTI-MONTHLY INCOME SCHEME Growth Dividend Payout Dividend Reinvestment (Default – Growth Option)

UTI-FIXED MATURITY PLAN - Regular Plan Yearly Series (YFMP) Half Yearly Series (HFMP) Quarterly Series (QFMP)
 Growth Dividend Payout Dividend Reinvestment
 (Use separate form for each series) **Cheque / DD should be drawn in favour of UTI-Fixed Maturity Plan – YFMP (mm/yy) / HFMP (mm/yy) / QFMP (mm/yy-Plan No.)** (Default – Growth Option)

UTI-FLOATING RATE FUND (STP) - Regular Plan Growth Daily Div. Reinvestment Weekly Div. Reinvestment (Default – Growth Option)

UTI-GILT ADVANTAGE FUND-LTP Growth Plan Dividend Plan Payout Dividend Plan Reinvestment (Default – Growth Plan)

UTI-LIQUID CASH PLAN- Institutional Growth Monthly Reinvestment Daily Div. Reinvestment Weekly Div. Reinvestment Monthly Payout (Default – Daily Div. Reinvestment)

UTI-MIS-ADVANTAGE PLAN Growth Plan Monthly Div. Plan Payout Monthly Div. Plan Reinvestment Flexi Dividend Plan Payout Flexi Dividend Plan Reinvestment Monthly Payment Plan (Default Plan - Growth Plan)

UTI-MONEY MARKET FUND - Institutional Plan Growth Daily Div. Reinvestment Weekly Div. Payout Weekly Div. Reinvestment (Default – Growth Option)

UTI-SHORT TERM INCOME FUND - Institutional Option Growth Sub Option Div Payout Sub Option Div. Reinvestment Sub Option (Default – Div. Reinvestment Sub Option)

UTI-TREASURY ADVANTAGE FUND - Institutional Plan Growth Daily Div. Reinvestment Weekly Div. Payout Weekly Div. Reinvestment Monthly Div. Payout Monthly Div. Reinvestment Quarterly Div. Payout Quarterly Div. Reinvestment Annual Div. Payout Annual Div. Reinvestment Bonus Option (Default – Daily Div. Option)

Unitholding Option Demat Mode Physical Mode (Available under all scheme except UTI-CRTS, UTI-MUS & UTI-FMP)

DEMAT ACCOUNT DETAILS - (Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant. Demat Account details are compulsory if demat mode is opted above)

National Securities Depository Limited	Depository Name _____	Central Depository Securities Limited	Depository Name _____
	DP ID No. _____		Target ID No. _____
	Beneficiary Account No. _____		

Enclosures : Client Master List (CMI) Transaction cum Holding Statement Delivery Instruction Slip (DIS)

FRIEND IN NEED DETAILS (refer instruction - q) In case UTI MF is unable to communicate with me/us at my / our registered address, I / we authorize UTI MF to correspond with the following person to ascertain my/our updated contact details.

Name _____

Address: _____

Relationship with the applicant (optional) _____ Email _____ Mobile _____

Annual Income of First Individual Applicant (Please < 5 Lacs > 5 Lacs - < 15 Lacs > 15 Lacs - < 25 Lacs > 25 Lacs

GENERAL INFORMATION - Please wherever applicable

STATUS <input type="checkbox"/> Resident Individual <input type="checkbox"/> Company <input type="checkbox"/> AOP <input type="checkbox"/> Minor through guardian <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> BOI <input type="checkbox"/> HUF <input type="checkbox"/> Society <input type="checkbox"/> FII <input type="checkbox"/> Partnership <input type="checkbox"/> Body Corporate <input type="checkbox"/> NRI <input type="checkbox"/> Trust <input type="checkbox"/> Others _____	MODE OF HOLDING <input type="checkbox"/> Single <input type="checkbox"/> Anyone or survivor <input type="checkbox"/> Joint <input type="checkbox"/> First holder or Survivor (for UTI MUS) MARITAL STATUS <input type="checkbox"/> Unmarried <input type="checkbox"/> Married <input type="checkbox"/> Wedding Anniversary _____	OCCUPATION <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Student <input type="checkbox"/> Housewife <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Self-employed <input type="checkbox"/> Service <input type="checkbox"/> Others _____
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NOMINATION DETAILS (Please (please sign if you do not wish to nominate))

I/We hereby nominate the undermentioned Nominee to receive the amounts to my / our credit in the event of my / our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustee.

Name and Address of Nominee Name _____ Date of Birth _____ (in case of nominee is a minor) Address with pin code _____	To be furnished in case nominee is a minor Name of the guardian _____ Address of guardian _____ Signature of Nominee / guardian (for minor) _____
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Investors who wish to nominate two or three persons may fill in the separate form prescribed for the same and attach it with this application form.

I/We do not wish to nominate

_____ _____ _____
Signature of 1st Applicant / Guardian **Signature of 2nd Applicant** **Signature of 3rd Applicant**

DECLARATION AND SIGNATURE OF APPLICANT/S

• I / We have read and understood the contents of the Scheme Information Document, Statement of Additional Information and Key Information Memorandum, addenda issued till date and apply to the Trustee of UTI Mutual Fund as indicated above. I / We agree to abide by the terms and conditions, rules and regulations of the scheme as on the date of investment. I / We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements. • I / We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments. • I/We hereby authorize UTI MF/UTI AMC to share my data furnished in the Form to my distributor and other service providers of the UTI MF for the purpose of servicing, issue of account statement/consolidated statement of account etc and cross selling of products/schemes of the UTI MF. • The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. • I / We confirm that we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO Account. I / We undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund. (Applicable for NRIs) • I hereby solemnly declare that I am the father/mother/guardian of the minor child in whose name the application is made. The date of birth stated by me is true and correct. I do not have any documents in support of the date of birth and relationship with minor child. (Strike out if this declaration is not applicable)

* Please send the Account Statement, Abridged Annual Report, Transaction confirmation, communication of change of address, change of bank details etc. through email only at the below email ID. (If you wish to receive in physical form please tick)

First Applicant Details Mobile Number _____ Tel. (R) _____ No. (O) _____	*E mail _____ Alternate E-mail _____
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_____ _____ _____
Signature of 1st Applicant / Guardian **Signature of 2nd Applicant** **Signature of 3rd Applicant**
 Name of 1st Authorised Signatory Name of 2nd Authorised Signatory Name of 3rd Authorised Signatory

Designation _____ Designation _____ Designation _____

Notes :

- If the application is incomplete and any other requirement is not fulfilled, the application is liable to be rejected.
- Consolidated Account Statement (CAS) will be sent within 10 days of the following month of the transaction.
- Please ensure that all KYC Compliance Proof and PAN details are given, failing which your application will be rejected. PAN not applicable for Micro SIP.
- All communication relating to issue of Statement of Account, Change in name, Address or Bank particulars, Nomination, Redemption, Death Claims etc., may please be addressed to the Registrar : **M/s. Karvy Computershare Private Limited**, Narayani Mansion, H.No.1-90-2/10/E, Vittalrao Nagar, Madhapur, Hyderabad – 500 081. Tel. 040-23421944 to 47, Fax: 040-23115503, E-mail: uti@karvy.com

Sign here

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